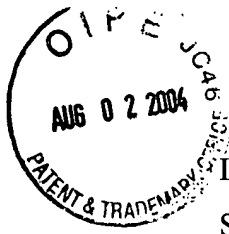


JW DAC



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

July 29, 2004

In re application of : William L. Courtney  
Serial No. : 10/021,519  
Filing Date : 12/07/2001  
For : INTEGRATED OR ATTACHED SPACE  
OCCUPYING CEPHALIC RESTRAINT COLLAR  
FOR IMPROVED LIFE JACKET PERFORMANCE  
  
Examiner : Andrew D. Wright  
Art Unit : 3617  
Our File No. : 9297.6823

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, and any attachments thereto, is being deposited with the United States Postal Service, as First Class mail, in an envelope addressed to: Mail Stop Petitions, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

BETTY BERNAL  
Name of Person Mailing  
Paper

Betty Bernal  
Signature

07/29/2004  
Date

Mail Stop Petitions  
Commissioner For Patents  
Washington, D.C. 20231

**PETITION FOR CORRECTION OF APPLICANT'S NAME  
UNDER 37 C.F.R. 1.182**


Dear Sir:

Applicant hereby petitions that Applicant's middle initial in the Patent Office's record be corrected to read William L Courtney instead of William H Courtney. As evidenced by the enclosed copy of the Declaration/Power of Attorney duly executed by Applicant, as well as the enclosed copy of the Patent Application Transmittal Letter, Applicant's legal name is **William L. Courtney**.

On July 6, 2004, Applicant paid the Issue and Publication fees in the amount of \$995.00, which includes \$30.00 for ten (10) soft copies of the patent. Applicant also filed formal drawings on the same date. Therefore, Applicant respectfully requests that Applicant's name be corrected before the patent is forwarded to the Patent Publications branch.

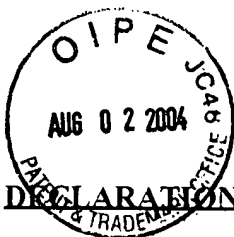
A check in the amount of \$130.00 for the Petition Fee is enclosed. Any additional charges, including extensions of time, may be billed to Deposit Account No. 503180.

Respectfully submitted,



---

Daniel S. Polley, Reg. No. 34,902  
DANIEL S. POLLEY, P.A.  
1215 East Broward Boulevard  
Fort Lauderdale, FL 33301  
954\*234-2417



**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**  
**(English Language Declaration)**

File No. 9297.6823

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **INTEGRATED OR ATTACHED SPACE OCCUPYING CEPHALIC RESTRAINT COLLAR FOR IMPROVED LIFE JACKET PERFORMANCE**, which claims the benefit of and priority to U.S. Application Serial No. 60/254,380, filed December 8, 2000, the specification of which (check one):

☒ is attached hereto

☐ was filed on \_\_\_\_\_ as Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability, as defined in Title 37, Code of Federal Regulations §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>Number</u>	<u>Country</u>	<u>Day/Month/Year</u>	<u>Yes</u>	<u>No</u>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code 120, of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
60/254,380	12/08/2000	Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY**

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith along with any and all foreign applications filed and foreign patents issued therefrom.

Barry L. Haley, Registration No. 25,339  
Dale Paul DiMaggio, Registration No. 31,823  
Daniel S. Polley, Registration No. 34,902  
Jeffrey H. Kamenetsky, Registration No. 44,179  
Joseph R. Englander, Registration No. 38,871

Send all correspondence to:

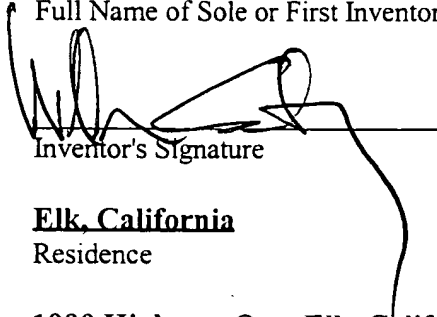
Daniel S. Polley, Esq.  
Malin, Haley & DiMaggio, P.A.  
1936 South Andrews Avenue  
Fort Lauderdale, Florida 33316

Direct telephone calls to:

Daniel S. Polley, Esq.  
(954) 763-3303

**WILLIAM L. COURTNEY**

Full Name of Sole or First Inventor

  
Inventor's Signature

**Elk, California**

Residence

**1990 Highway One, Elk, California 95432**

Post Office Address

**UNITED STATES OF AMERICA**

Citizenship

  
Date

**N/A**

Full Name of Second Joint Inventor

Citizenship

\_\_\_\_\_  
Second Inventor's Signature

\_\_\_\_\_  
Date

Residence

Post Office Address

**PATENT APPLICATION TRANSMITTAL LETTER**



TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of **WILLIAM L. COURTNEY**, entitled: **INTEGRATED OR ATTACHED SPACE OCCUPYING CEPHALIC RESTRAINING COLLAR FOR IMPROVED LIFE JACKET PERFORMANCE**, which claims the benefit of and priority to U.S. Application Serial No. 60/254,380, filed December 8, 2000, including specification, abstract, and claims totaling 24 pages.

Enclosed are:

- ☒ Ten (10) sheet(s) of ☐ formal, ☒ informal drawing(s).
- ☐ an assignment of the invention to
- ☐ a certified copy of a application.
- ☐ Information Disclosure Statement, ☐ Form PTO-1449.
- ☒ Applicant claims small entity status under 37 CFR 1.27.
- ☒ Executed Declaration by the Inventor.
- ☐ Preliminary Amendment
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

☒ **CLAIMS AS FILED SMALL ENTITY**

Basic Filing Fee			\$370.00
Total Claims 21 - 20 = excess	1	x \$9.00 =	\$9.00
Indep Claims 3 - 3 = excess	0	x \$42.00 =	\$0.00
Multiple Dependent Claims		x \$140.00 =	\$0.00
=====			
TOTAL			\$379.00

☐ **CLAIMS AS FILED OTHER THAN A SMALL ENTITY**

Basic Filing Fee			\$740.00
Total Claims - 20 = excess		x \$18.00 =	\$
Indep Claims - 3 = excess		x \$84.00 =	\$
Multiple Dependent Claims		x \$280.00 =	\$
=====			
TOTAL			\$

- ☐ A duplicate copy of this sheet is enclosed.
- ☒ Certificate of Express Mail No. EL 630274804 US
- ☒ A check in the amount of \$379.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 13-1130 as described below. I have enclosed a duplicate copy of this sheet.
  - ☐ Charge the amount of \$ as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

December 7, 2001  
Date

  
Daniel S. Polley, Reg. No. 34,902

Malin, Haley & DiMaggio, P.A.  
1936 South Andrews Avenue  
Fort Lauderdale, Florida 33316  
(954) 763-3303

Attorney Docket No. 9297.6823  
I:\9000\9297\FORMS\6823.229.PAT.doc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

December 5, 2001

First Named Inventor : WILLIAM L. COURTNEY  
Serial No. : TO BE ASSIGNED  
Filed : CONCURRENTLY HEREWITH  
For : **INTEGRATED OR ATTACHED SPACE OCCUPYING  
CEPHALIC RESTRAINT COLLAR FOR IMPROVED  
LIFE JACKET PERFORMANCE**  
Our File No. : 9297.6823

**REQUEST AND CERTIFICATION**  
**UNDER 35 U.S.C. 122(b)(2)(B)(i)**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

DATE: 12-6-01

APPLICANT:

  
WILLIAM L. COURTNEY